

# INTEGRALITY AT A PRIME FOR GLOBAL FIELDS AND THE PERFECT CLOSURE OF GLOBAL FIELDS OF CHARACTERISTIC $p > 2$

KIRSTEN EISENTRÄGER

**ABSTRACT.** Let  $k$  be a global field and  $\mathfrak{p}$  any nonarchimedean prime of  $k$ . We give a new and uniform proof of the well known fact that the set of all elements of  $k$  which are integral at  $\mathfrak{p}$  is diophantine over  $k$ . Let  $k^{\text{perf}}$  be the perfect closure of a global field of characteristic  $p > 2$ . We also prove that the set of all elements of  $k^{\text{perf}}$  which are integral at some prime  $\mathfrak{q}$  of  $k^{\text{perf}}$  is diophantine over  $k^{\text{perf}}$ , and this is the first such result for a field which is not finitely generated over its constant field. This is related to Hilbert's Tenth Problem because for global fields  $k$  of positive characteristic, giving a diophantine definition of the set of elements that are integral at a prime is one of two steps needed to prove that Hilbert's Tenth Problem for  $k$  is undecidable.

## 1. INTRODUCTION

Hilbert's Tenth Problem in its original form was to find an algorithm to decide, given a polynomial equation  $f(x_1, \dots, x_n) = 0$  with coefficients in the ring  $\mathbb{Z}$  of integers, whether it has a solution with  $x_1, \dots, x_n \in \mathbb{Z}$ . Matijasevič [10], building on earlier work by Davis, Putnam, and Robinson [2], proved that no such algorithm exists, *i.e.* Hilbert's Tenth Problem is undecidable.

Since then, analogues of this problem have been studied by asking the same question for polynomial equations with coefficients and solutions in other commutative rings  $R$ . We refer to this as Hilbert's Tenth Problem over  $R$ . Perhaps the most important unsolved question in this area is Hilbert's Tenth Problem over the field of rational numbers. Diophantine undecidability has been proved for several function fields of characteristic 0: In [3] Denef proves the undecidability of Hilbert's Tenth Problem for rational function fields over formally real fields. In 1992 Kim and Roush [8] showed that the problem is undecidable for the purely transcendental function field  $\mathbb{C}(t_1, t_2)$ , and in [5] this is generalized to finite extensions of  $\mathbb{C}(t_1, \dots, t_n)$  for  $n \geq 2$ .

Hilbert's Tenth Problem for the function field  $k$  of a curve over a finite field is also undecidable. This was proved by Pheidas for  $k = \mathbb{F}_q(t)$  with  $q$  odd, and by Videla [21] for  $\mathbb{F}_q(t)$  with  $q$  even. In [19, 20] Shlapentokh generalized Pheidas' result to finite extensions of  $\mathbb{F}_q(t)$  with  $q$  odd and to certain function

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fields over possibly infinite constant fields of odd characteristic, and the remaining cases in characteristic 2 are treated in [4]. Before we can state the results of this paper we need the following definition.

**Definition 1.** 1. If  $R$  is a commutative ring, a *diophantine equation over  $R$*  is an equation  $P(x_1, \dots, x_n) = 0$  where  $P$  is a polynomial in the variables  $x_1, \dots, x_n$  with coefficients in  $R$ .

2. A subset  $S$  of  $R^k$  is *diophantine over  $R$*  if there is a polynomial  $P(x_1, \dots, x_k, y_1, \dots, y_m) \in R[x_1, \dots, x_k, y_1, \dots, y_m]$  such that

$$S = \{(x_1, \dots, x_k) \in R^k : \exists y_1, \dots, y_m \in R, (P(x_1, \dots, x_k, y_1, \dots, y_m) = 0)\}.$$

When  $R$  is not a finitely generated algebra over  $\mathbb{Z}$ , we restrict our attention to diophantine equations whose coefficients are in a finitely generated algebra over  $\mathbb{Z}$ .

For global fields of positive characteristic, Proposition 1.1 below [19, p. 319] is used to prove undecidability of Hilbert's Tenth Problem. For the purposes of this paper, global fields are algebraic number fields or finite extensions of the rational function fields  $\mathbb{F}_q(t)$ . A prime of a global field  $k$  is an equivalence class of nontrivial absolute values of  $k$ . A nonarchimedean prime is an equivalence class of nontrivial nonarchimedean absolute values of  $k$ . For a nonarchimedean prime  $\mathfrak{p}$  of a global field  $k$  we denote by  $\text{ord}_{\mathfrak{p}}$  the associated normalized additive discrete valuation  $\text{ord}_{\mathfrak{p}} : k^* \rightarrow \mathbb{Z}$ .

**Proposition 1.1.** *Let  $k$  be a global field of positive characteristic, let  $p$  be a rational prime, and let  $\mathfrak{p}$  be a prime of  $k$ . Assume that the sets  $p(k) := \{(x, w) \in k^2 : \exists s \in \mathbb{N}, w = x^{p^s}\}$  and  $\text{INT}(\mathfrak{p}) := \{x \in k : \text{ord}_{\mathfrak{p}} x \geq 0\}$  are diophantine. Then Hilbert's Tenth Problem for  $k$  is undecidable.*

So for global fields of positive characteristic, a diophantine definition of the set of elements which are integral at some prime  $\mathfrak{p}$  is one of two main steps used to prove undecidability of Hilbert's Tenth Problem.

In this paper we will prove two results. We give a different and more uniform proof of the known fact that for any global field  $k$  and any nonarchimedean prime  $\mathfrak{p}$  of  $k$  the set of elements of  $k$  which are integral at  $\mathfrak{p}$  is diophantine. For number fields the result was already implicit in the work of Robinson [14, 15], and explicitly written down in [7, Proposition 3.1]. Their proof relies on the Hasse principle for quadratic forms. For global function fields the result was proved in [18]. There is also another approach by Rumely [16] that uses the Hasse norm principle. Our approach uses the Brauer group of  $k$ . We also prove the following new result:

**Theorem 1.2.** *Let  $k$  be a global field of characteristic  $p > 2$ , and let  $k^{\text{perf}}$  be the perfect closure of  $k$ . Let  $\mathfrak{p}$  be a prime of  $k^{\text{perf}}$ . The set  $\{x \in k^{\text{perf}} : \text{ord}_{\mathfrak{p}} x \geq 0\}$  is diophantine over  $k^{\text{perf}}$ .*

The perfect closure of a field  $k$  of characteristic  $p$  is obtained by adjoining  $p^n$ -th roots of all elements of  $k$  for all  $n \geq 1$ . A prime  $\mathfrak{p}$  of  $k^{\text{perf}}$  is an equivalence class of nontrivial absolute values of  $k^{\text{perf}}$ . The associated additive

valuation  $\text{ord}_{\mathfrak{p}}$  is no longer discrete since every element of  $k^{\text{perf}}$  is a  $p$ -th power.

The perfect closure of  $\mathbb{F}_q(t)$  is  $K := \mathbb{F}_q(t, t^{1/p}, t^{1/p^2}, t^{1/p^3}, \dots)$ . We will first prove Theorem 1.2 for  $K$ . Let  $k$  be any global field of characteristic  $p > 0$ . Then  $k$  is a finite extension of  $\mathbb{F}_q(t)$  for some  $q = p^n$ . We will show in Section 4 that the perfect closure  $k^{\text{perf}}$  of  $k$  is also obtained by adjoining  $p^n$ -th roots of  $t$ , and that the proof for  $K$  generalizes to  $k^{\text{perf}}$ . These perfect closures are not finitely generated over their constant fields. This distinguishes them from all the function fields mentioned above.

## 2. BACKGROUND

In this section we will state some of the definitions and theorem about division algebras and Brauer groups that are needed in the next two sections.

**Definition 2** (Quaternion Algebras). Let  $F$  be a field of characteristic  $\neq 2$ . For  $a, b \in F^*$ , let  $H(a, b)$  be the  $F$ -algebra with basis  $1, i, j, k$  (as an  $F$ -vector space) and with multiplication rules

$$i^2 = a, j^2 = b, ij = k = -ji.$$

Then  $H(a, b)$  is an  $F$ -algebra which is called a *quaternion algebra* over  $F$ .

One can show that  $H(a, b)$  is either a division algebra or isomorphic to  $M_2(F)$ . (Here  $M_2(F)$  is the algebra of  $2 \times 2$  matrices.)

**Definition 3.** 1. An algebra  $A$  is said to be *central simple* over a field  $F$  if  $A$  is a simple algebra having  $F$  as its center.

2. The matrix algebra  $M_n(F)$  is called a *split* central simple algebra over  $F$ . If  $A$  is a finite dimensional central simple algebra over  $F$ , then an extension field  $E$  of  $F$  is called a *splitting field* for  $A$  if  $A \otimes_F E \cong M_n(E)$  for some  $n$ .

**Proposition 2.1.** *Let  $F$  be a field of characteristic  $\neq 2$ . Every 4-dimensional central simple algebra over  $F$  is isomorphic to  $H(a, b)$  for some  $a, b \in F^*$ .*

*Proof.* This is Proposition 1 in [1, p. 128].  $\square$

In characteristic 2 something similar holds:

**Proposition 2.2.** *Let  $F$  be a field of characteristic 2. Let  $D$  be a central division algebra over  $F$  such that for each  $x \in D$ , we have  $[F(x) : F] \leq 2$ . Then  $D$  admits a basis  $(1, u, v, w)$  over  $F$  such that*

$$u^2 = a, v^2 = v + b, uv = w, vu = w + u, w^2 = ab, vw = bu$$

$$wv = bu + w, wu = a + av, uw = av,$$

where  $a, b \in F$ . We will denote this algebra again by  $H(a, b)$ .

*Proof.* This is Exercise 4 in [1, p. 130].  $\square$

**Definition 4.** Let  $k$  be a global field. Let  $\mathfrak{p}$  be a prime of  $k$ , and let  $k_{\mathfrak{p}}$  be the completion of  $k$  at  $\mathfrak{p}$ . A quaternion algebra  $A$  over  $k$  is said to *split* at  $\mathfrak{p}$  if

$$A \otimes_k k_{\mathfrak{p}} \cong M_2(k_{\mathfrak{p}}) \text{ as } k_{\mathfrak{p}}\text{-algebras.}$$

Otherwise  $A$  is *ramified* at  $\mathfrak{p}$ .

**Notation:** For any field  $F$ , let  $F^{\text{sep}}$  denote a separable closure of  $F$ . We have the following Proposition.

**Proposition 2.3.** *Let  $A$  be a finite dimensional central simple algebra over a field  $F$ . There exists an  $F^{\text{sep}}$ -algebra isomorphism  $\iota : A \otimes_F F^{\text{sep}} \rightarrow M_r(F^{\text{sep}})$ . The characteristic polynomial  $P_a(x) \in F^{\text{sep}}[x]$  of  $\iota(a \otimes 1)$  is independent of the choice of  $\iota$ . Moreover,  $P_a(x) \in F[x]$ .*

*Proof.* This is proved in [13, pp. 113–114].  $\square$

**Definition 5.** Let  $A$  be as above. The *reduced trace*  $\text{tr}(\alpha)$  of  $\alpha \in A$  is defined to be the trace of  $\iota(\alpha \otimes 1)$ , for any choice of  $\iota$  as above. Similarly the *reduced norm*  $\text{nr}(\alpha)$  is defined to be the determinant.

We can compute the following:

**Lemma 2.4.** *Let  $H(a, b)$  be a quaternion algebra over a field  $F$  of characteristic  $\neq 2$ . The reduced trace  $\text{tr}(x_1 + x_2i + x_3j + x_4k)$  equals  $2x_1$ , and the reduced norm  $\text{nr}(x_1 + x_2i + x_3j + x_4k)$  equals  $x_1^2 - ax_2^2 - bx_3^2 + abx_4^2$  for any  $x_1, \dots, x_4 \in F$ .*

**Lemma 2.5.** *Let  $D$  be a 4-dimensional division algebra over a field  $F$  of characteristic 2, so that  $D = H(a, b)$  as in Proposition 2.2 for some  $a, b \in F^*$ . Let  $(1, u, v, uv)$  be a basis of  $D$  over  $F$  as in Proposition 2.2. For an element  $x_1 + x_2u + x_3v + x_4uv$  we have  $\text{tr}(x_1 + x_2u + x_3v + x_4uv) = x_3$  and  $\text{nr}(x_1 + x_2u + x_3v + x_4uv) = x_1^2 + x_1x_3 + bx_3^2 + a(x_2^2 + x_2x_4 + bx_4^2)$ .*

*Proof.* This follows from Proposition 10 in [1, p. 144] and from Exercise 6 in [1, p. 147].  $\square$

**Definition 6** (Brauer group). Let  $A$  and  $B$  be finite dimensional central simple algebras over a field  $F$ . We say that  $A$  and  $B$  are similar,  $A \sim B$ , if  $A \otimes_F M_n(F) \cong B \otimes_F M_m(F)$  for some  $m$  and  $n$ . Define the *Brauer group* of  $F$ ,  $\text{Br}(F)$ , to be the set of similarity classes of central simple algebras over  $F$ , and write  $[A]$  for the similarity class of  $A$ . For classes  $[A]$  and  $[B]$ , define

$$[A][B] := [A \otimes_F B].$$

This is well defined and makes  $\text{Br}(F)$  into an abelian group.

Each similarity class of  $\text{Br}(F)$  is represented by a central division algebra, and two central division algebras representing the same similarity class are isomorphic [11, p. 100].

**Theorem 2.6.** *Let  $K$  be a nonarchimedean local field.*

- (1) *The Brauer group of  $K$  is isomorphic to  $\mathbb{Q}/\mathbb{Z}$ .*

- (2) Let  $D/K$  be a division algebra of degree  $n^2$ . The order of  $[D]$  in  $\text{Br}(K)$  is  $n$ .

*Proof.*

- (1) This is Theorem 9.22 in [6].  
 (2) This is Theorem 9.23 in [6].

□

**Theorem 2.7.** *Let  $k$  be a global field. There is an exact sequence*

$$0 \rightarrow \text{Br}(k) \rightarrow \bigoplus_{v \in M_k} \text{Br}(k_v) \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0,$$

where  $M_k$  denotes the set of nonequivalent nontrivial absolute values of  $k$ .

*Proof.* This is Remark (ii) in [13, p. 277].

□

**Proposition 2.8.** *Let  $K$  be a nonarchimedean local field, and let  $D$  be a finite dimensional central division algebra over  $K$ . The valuation on  $K$  has a unique extension to  $D$ .*

*Proof.* This is proved in [17, p. 182].

□

### 3. INTEGRALITY AT A PRIME FOR GLOBAL FIELDS

In this section we will prove the following

**Theorem 3.1.** *Let  $k$  be a global field. Let  $\mathfrak{p}$  be a nonarchimedean prime of  $k$ . The set  $\{x \in k : \text{ord}_{\mathfrak{p}} x \geq 0\}$  is diophantine over  $k$ .*

*Proof.* We will first prove this when the characteristic of  $k$  is not 2 and then say how the proof has to be modified in characteristic 2.

For any nonarchimedean prime  $\mathfrak{p}$  of  $k$  let  $R_{\mathfrak{p}} := \{x \in k : \text{ord}_{\mathfrak{p}} x \geq 0\}$ .

**Claim:** Given two distinct nonarchimedean primes  $\mathfrak{p}$  and  $\mathfrak{q}$  of  $k$  there exists a subset  $S \subseteq R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$  containing a subgroup  $G$  of finite index in  $R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$ , such that  $S$  is diophantine over  $k$ .

**Proof of Claim:** By the approximation theorem we may choose  $p, q \in k$  such that  $\text{ord}_{\mathfrak{p}} p = 1$ ,  $\text{ord}_{\mathfrak{q}} p = 0$ ,  $\text{ord}_{\mathfrak{p}} q = 0$ , and  $\text{ord}_{\mathfrak{q}} q = 1$ . By Theorem 2.6 and Theorem 2.7 we can find a central division algebra  $H$  that is ramified exactly at  $\mathfrak{p}$  and  $\mathfrak{q}$  and which has degree 4 over  $k$ . By Proposition 2.1,  $H \cong H(a, b)$  for some  $a, b \in k^*$ . Let  $\mathcal{O}_{\mathfrak{p}}$  be the valuation ring of  $k_{\mathfrak{p}}$ , where  $k_{\mathfrak{p}}$  is the completion of  $k$  at the prime  $\mathfrak{p}$ . Let  $A_{\mathfrak{p}}$  be the valuation ring of  $H_{\mathfrak{p}} := H \otimes k_{\mathfrak{p}}$ . Then  $A_{\mathfrak{p}}$  is a free  $\mathcal{O}_{\mathfrak{p}}$ -module of rank 4. Since  $H(a, b) \cong H(ax^2, by^2)$  for  $x, y \in k^*$ , we can choose  $i, j \in H$  that are integral at  $\mathfrak{p}$  and  $\mathfrak{q}$ , and then

$$\begin{aligned} p^r A_{\mathfrak{p}} &\subseteq \mathcal{O}_{\mathfrak{p}} + \mathcal{O}_{\mathfrak{p}} i + \mathcal{O}_{\mathfrak{p}} j + \mathcal{O}_{\mathfrak{p}} ij, \text{ and} \\ q^r A_{\mathfrak{q}} &\subseteq \mathcal{O}_{\mathfrak{q}} + \mathcal{O}_{\mathfrak{q}} i + \mathcal{O}_{\mathfrak{q}} j + \mathcal{O}_{\mathfrak{q}} ij \text{ for some } r \geq 0. \end{aligned}$$

Now let

$$T := \{x_1 \in k : (\exists x_2, x_3, x_4 \in k) : (x_1^2 - ax_2^2 - bx_3^2 + abx_4^2 = pq)\}.$$

Then  $S = (pq)^r T$  has the desired property. Suppose  $x_1 \in T$ . Then there exists  $\alpha = x_1 + x_2i + x_3j + x_4ij \in H$  whose reduced norm equals  $pq$ . Since  $pq \in \mathcal{O}_{\mathfrak{p}}$  it follows that  $\alpha \in A_{\mathfrak{p}}$ . Then  $p^r x_1 \in \mathcal{O}_{\mathfrak{p}}$ . Similarly we can show that  $q^r x_1 \in \mathcal{O}_{\mathfrak{q}}$ , so  $(pq)^r x_1 \in \mathcal{O}_{\mathfrak{p}} \cap \mathcal{O}_{\mathfrak{q}}$ . Hence  $S \subseteq \mathcal{O}_{\mathfrak{p}} \cap \mathcal{O}_{\mathfrak{q}} \cap k = R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$ .

Conversely assume that  $x_1 \in k$  and that  $x_1 \in pR_{\mathfrak{p}} \cap qR_{\mathfrak{q}}$ . Then the equation

$$X^2 - 2x_1X + pq = 0$$

is Eisenstein at  $\mathfrak{p}$  and  $\mathfrak{q}$ , so a root  $\beta$  generates a quadratic field extension, and  $\beta$  also generates a quadratic extension  $k_{\mathfrak{p}}(\beta)$  of  $k_{\mathfrak{p}}$  and a quadratic extension  $k_{\mathfrak{q}}(\beta)$  of  $k_{\mathfrak{q}}$ . By [11, Remark 4.4, p. 110] any quadratic extension field of the local field  $k_{\mathfrak{p}}$  is a splitting field for  $H$  over  $k_{\mathfrak{p}}$ . Hence  $k_{\mathfrak{p}}(\beta)$  splits  $H$  locally, and by Theorem 2.7 it follows that  $k(\beta)$  splits  $H$ . Since  $k(\beta)$  splits  $H$ ,  $k(\beta)$  can be embedded into  $H$  [11, Corollary 3.7, p. 103], and we can apply Proposition 10 in [1, p. 144] to conclude that the image of  $\beta$  in  $D$  is  $c = c_1 + c_2i + c_3ij + c_4ij$  with reduced trace  $\text{tr}(c) = 2x_1$  and reduced norm  $\text{nr}(c) = pq$ . Hence  $2c_1 = 2x_1$ , so  $c_1 = x_1$  and  $x_1 \in T$ . Then  $(pq)^r x_1 \in S$ . Thus  $S \subseteq R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$  and  $S$  contains the subgroup  $G := p^{r+1}R_{\mathfrak{p}} \cap q^{r+1}R_{\mathfrak{q}}$  which has finite index in  $R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$ . This proves the claim.

Let  $s_1, \dots, s_l$  be coset representatives for  $G$  in  $R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$ . Then for  $x \in k$ ,

$$x \in R_{\mathfrak{p}} \cap R_{\mathfrak{q}} \Leftrightarrow (\exists s \in S)(x = s + s_1) \vee \dots \vee (x = s + s_l).$$

This proves that  $R_{\mathfrak{p}} \cap R_{\mathfrak{q}}$  is diophantine over  $k$ .

We can repeat the same argument with  $\mathfrak{p}$  and some other finite prime  $\ell \neq \mathfrak{q}$  and conclude that  $R_{\mathfrak{p}} \cap R_{\ell}$  is diophantine over  $k$ . By weak approximation we have

$$R_{\mathfrak{p}} = (R_{\mathfrak{p}} \cap R_{\mathfrak{q}}) + (R_{\mathfrak{p}} \cap R_{\ell}).$$

This proves the theorem when the characteristic of  $k$  is not 2.

**Characteristic 2 Case:** When  $k$  has characteristic 2, we can still find a 4-dimensional central division algebra ramified exactly at  $\mathfrak{p}$  and  $\mathfrak{q}$ . We only have to change the definition of  $T$  to

$$T := \{x_3 \in k : (\exists x_1, x_2, x_4 \in k) : \text{nr}(x_1 + x_2u + x_3v + x_4uv) = pq\}.$$

Then we can still show  $T \subseteq A_{\mathfrak{p}}$ . For the other direction, given  $x_3 \in k$  with  $x_3 \in pR_{\mathfrak{p}} \cap qR_{\mathfrak{q}}$ , we look at the equation

$$X^2 - x_3X + pq = 0.$$

Then the proof proceeds exactly as before.  $\square$

#### 4. INTEGRALITY AT A PRIME FOR THE PERFECT CLOSURE OF GLOBAL FIELDS OF CHARACTERISTIC $p > 2$

**Notation.** In the following  $\mathbb{F}_q$  will be the finite field with  $q = p^m$  elements of characteristic  $p > 2$ ,  $\mathbb{F}_q(t)$  will denote the field of rational functions over  $\mathbb{F}_q$  and  $K$  will denote the perfect closure of  $\mathbb{F}_q(t)$ , i.e.  $K =$

$\mathbb{F}_q(t, t^{1/p}, t^{1/p^2}, t^{1/p^3}, \dots)$ . For simplicity of notation we will first prove Theorem 1.2 for the rational function field  $\mathbb{F}_q(t)$ , and then say how the proof has to be modified for finite extensions  $k$  of  $\mathbb{F}_q(t)$ .

**Theorem 4.1.** *Let  $K$  be as above. Let  $\mathfrak{p}$  be a prime of  $K$ . The set  $\{x \in K : \text{ord}_{\mathfrak{p}} x \geq 0\}$  is diophantine over  $K$ .*

*Proof.* Let  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  be two primes of  $K$  and let  $\text{ord}_{\mathfrak{p}_1}$  and  $\text{ord}_{\mathfrak{p}_2}$  be the associated additive valuations.

We will show that the set  $\{x \in K : \text{ord}_{\mathfrak{p}_1} x \geq 0\}$  is diophantine over  $K$ .

The restrictions of  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  to  $\mathbb{F}_q(t)$  are primes of  $\mathbb{F}_q(t)$ . For simplicity of notation we will denote these restrictions again by  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$ . From Theorem 2.7 and Theorem 2.6 it follows that we can find a central division algebra  $D/\mathbb{F}_q(t)$  with  $[D : \mathbb{F}_q(t)] = 4$  which is ramified exactly at the primes  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$ .

$$\text{Let } \mathcal{O}_D := \{z \in D : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\},$$

$$\text{and } \mathcal{O} := \{z \in \mathbb{F}_q(t) : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\}.$$

The ring  $\mathcal{O}$  is an intersection of discrete valuation rings, so  $\mathcal{O}$  is a Dedekind domain with finitely many primes. By [6, Exercise 15, p. 625]  $\mathcal{O}$  is a PID. The ring  $\mathcal{O}_D$  is a finitely generated torsion-free  $\mathcal{O}$ -module. Since  $\mathcal{O}$  is a PID, it follows that  $\mathcal{O}_D$  is a free  $\mathcal{O}$ -module of rank 4.

Let  $\text{tr} : \mathcal{O}_D \rightarrow \mathcal{O}$  be the reduced trace. Then  $\text{tr}(1) = 2$ , because  $[D : \mathbb{F}_q(t)] = 4$ . Since 2 is a unit in  $\mathcal{O}$ , the reduced trace is surjective. Since  $\mathcal{O}_D/\mathcal{O}$  is free, the kernel of the reduced trace is free of rank 3, so let  $a_2, a_3, a_4$  be a basis for the kernel. The image of the trace is generated by  $\text{tr}(1)$ , so  $a_1 = 1, a_2, a_3, a_4$  are a basis of  $\mathcal{O}_D/\mathcal{O}$ . Then  $a_1, \dots, a_4$  are also a basis for  $\mathcal{O}_D \otimes_{\mathcal{O}} \mathbb{F}_q(t) = D$  over  $\mathbb{F}_q(t)$ . Let

$$S := \{x_1 \in \mathbb{F}_q(t) : (\exists x_2, x_3, x_4 \in \mathbb{F}_q(t)) : (\text{nr}(x_1 a_1 + x_2 a_2 + x_3 a_3 + x_4 a_4) = 1)\}.$$

Then  $S \subseteq \mathcal{O}$ . Let  $K = \mathbb{F}_q(t, t^{1/p}, t^{1/p^2}, t^{1/p^3}, \dots)$ .

Let  $D^{\text{perf}} := D \otimes_{\mathbb{F}_q(t)} K$ . Then  $D^{\text{perf}}$  is still ramified at  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$ , because only elements of order  $p^\ell$  in  $\text{Br}(\mathbb{F}_q(t))$  get killed in the perfection,  $D$  has order 2 in  $\text{Br}(\mathbb{F}_q(t))$ , and  $p \geq 3$ .

$$\text{Let } \mathcal{O}^{\text{perf}} := \{z \in K : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\},$$

$$\text{and } \mathcal{O}_{D^{\text{perf}}} := \{z \in D^{\text{perf}} : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\}.$$

We will prove that  $\mathcal{O}^{\text{perf}}$  is diophantine over  $K$ . To do this let

$$T := \{x_1 \in K : (\exists x_2, x_3, x_4 \in K) : (\text{nr}(x_1 a_1 + x_2 a_2 + x_3 a_3 + x_4 a_4) = 1)\}.$$

We will prove that  $\mathcal{O}^{\text{perf}}$  is diophantine by showing that there exist finitely many elements  $\alpha_1, \dots, \alpha_r \in K$  such that

$$\mathcal{O}^{\text{perf}} = (T + \alpha_1) \cup (T + \alpha_2) \cup \dots \cup (T + \alpha_r).$$

First we need the following claim:

**Claim:**  $\mathcal{O}_{D^{\text{perf}}}$  is a free  $\mathcal{O}^{\text{perf}}$ -module of rank 4 with basis  $a_1 \otimes 1, \dots, a_4 \otimes 1$ .

Also  $a_1 \otimes 1, \dots, a_4 \otimes 1$  are a basis for  $D^{\text{perf}}$  over  $K$ .

**Proof of Claim:** For each  $i \in \mathbb{N}$  let

$$D_i := D \otimes_{\mathbb{F}_q(t)} \mathbb{F}_q(t^{1/p^i}),$$

$$\mathcal{O}_i := \{z \in \mathbb{F}_q(t^{1/p^i}) : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\}, \text{ and}$$

$$\mathcal{O}_{D_i} := \{z \in \mathbb{F}_q(t^{1/p^i}) : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_2}(z) \geq 0\} = \mathcal{O}_D \otimes_{\mathcal{O}} \mathcal{O}_i.$$

Then  $\mathcal{O}_{D_i}$  is a free  $\mathcal{O}_i$ -module of rank 4 with basis  $a_1 \otimes 1, \dots, a_4 \otimes 1$  by [9, Proposition 4.1, p. 623].

We have that  $\mathcal{O}_{D^{\text{perf}}} = \mathcal{O}_D \otimes_{\mathcal{O}} \mathcal{O}^{\text{perf}}$ , and hence the same Proposition implies that  $\mathcal{O}_{D^{\text{perf}}}$  is free over  $\mathcal{O}^{\text{perf}}$  with basis  $a_1 \otimes 1, \dots, a_4 \otimes 1$ . These elements are still linearly independent over the quotient field of  $\mathcal{O}^{\text{perf}}$ ,  $K$ , so they also form a basis for  $D^{\text{perf}}$  over  $K$ . This proves the claim.

By definition of  $T$ , we have that  $T \subseteq \mathcal{O}^{\text{perf}}$ . Let  $k_1$  and  $k_2$  be the residue fields of  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$ , respectively. The fields  $k_1$  and  $k_2$  are finite extensions of  $\mathbb{F}_q$ . For  $x_1 \in \mathcal{O}^{\text{perf}}$  we have:

$$\begin{aligned} & x_1^2 - 1 \pmod{\mathfrak{p}_i} \notin (k_i)^2 \text{ for } i = 1, 2 \\ (1) \quad & \Rightarrow x_1^2 - 1 \notin (K_v^*)^2 \text{ locally at } v = \mathfrak{p}_1, \mathfrak{p}_2 \\ (2) \quad & \Leftrightarrow \begin{cases} X^2 - 2x_1X + 1 \text{ is irreducible over } K_v \text{ for } v = \mathfrak{p}_1, \mathfrak{p}_2 \\ \text{or } x_1 = \pm 1 \end{cases} \\ (3) \quad & \Leftrightarrow x_1 = \pm 1 \text{ or } (\exists \alpha \in D^{\text{perf}} \text{ s.t. } K(\alpha) \text{ splits } D^{\text{perf}}, \\ & \text{and } \alpha^2 - 2\alpha x_1 + 1 = 0) \\ (4) \quad & \Leftrightarrow x_1 = \pm 1 \text{ or } (\exists \alpha \in D^{\text{perf}} \text{ s.t. } \text{tr}(\alpha) = 2x_1, \text{nr}(\alpha) = 1, \\ & \text{and } [K(\alpha) : K] = 2) \\ & \Leftrightarrow \exists \alpha \in D^{\text{perf}} \text{ s.t. } \text{tr}(\alpha) = 2x_1, \text{ and } \text{nr}(\alpha) = 1 \\ & \Leftrightarrow x_1 \in T. \end{aligned}$$

The equivalence of (1) and (2) comes from solving the equation  $X^2 - 2x_1X + 1$  using the quadratic formula. The equivalence of (3) and (4) follows from the fact that every degree 2 field extension  $K(\alpha) \subseteq D^{\text{perf}}$  splits the 4-dimensional division algebra  $D^{\text{perf}}$ .

There exists an  $a_1 \in k_1$  such that  $(a_1^2 - 1) \notin (k_1)^2$ : If  $a_1^2 - 1$  were a square for every  $a_1 \in k_1$ , then we would have  $a_1^2 - 1 = b^2$ , so  $a_1^2 - 2 = b^2 - 1 = c^2$  is a square, so repeating this  $p$  times for every square we could show that the number of squares in  $k_1$  is divisible by  $p$ . But  $k_1 = \mathbb{F}_{p^n}$  for some  $n > 0$  and the number of squares in  $\mathbb{F}_{p^n}$  is  $(p^n + 1)/2$  which is not divisible by  $p$ . The same argument shows that there exists an element  $a_2 \in k_2$  such that  $(a_2^2 - 1) \notin (k_2)^2$ .

Let  $a_1 \in k_1$  and  $a_2 \in k_2$  be such elements. By the approximation theorem there exists an element  $a \in \mathcal{O}^{\text{perf}}$  such that  $a \equiv a_1 \pmod{\mathfrak{p}_1}$  and  $a \equiv a_2 \pmod{\mathfrak{p}_2}$ . From the above equivalences it follows that  $a \in T$ . The approximation theorem implies that for each  $i \in k_1, j \in k_2$  we can find an element



$\alpha_{i,j} \in \mathcal{O}^{\text{perf}}$  with the property that  $\alpha_{i,j} \equiv i \pmod{\mathfrak{p}_1}$  and  $\alpha_{i,j} \equiv j \pmod{\mathfrak{p}_2}$ .

**Claim:**

$$\mathcal{O}^{\text{perf}} = \bigcup_{i \in k_1, j \in k_2} (T + \alpha_{i,j}).$$

**Proof of Claim:** The set  $T$  contains all elements

$$\{x \in K : x \equiv a_1 \pmod{\mathfrak{p}_1} \text{ and } x \equiv a_2 \pmod{\mathfrak{p}_2}\}.$$

If  $y \in \mathcal{O}^{\text{perf}}$ , then for some  $i \in k_1, j \in k_2$ ,  $y \equiv i \pmod{\mathfrak{p}_1}$  and  $y \equiv j \pmod{\mathfrak{p}_2}$ , so then  $y - \alpha_{(i-a_1), (j-a_2)} \in T$ . This proves the claim.

The claim implies that  $\mathcal{O}^{\text{perf}}$  is diophantine over  $K$ . The same argument with  $\mathfrak{p}_2$  replaced by some other prime  $\mathfrak{p}_3$  shows that the set  $\tilde{\mathcal{O}}^{\text{perf}} = \{z \in K : \text{ord}_{\mathfrak{p}_1}(z) \geq 0 \text{ and } \text{ord}_{\mathfrak{p}_3}(z) \geq 0\}$  is diophantine over  $K$ . Then by weak approximation  $\{x \in K : \text{ord}_{\mathfrak{p}_1}(x) \geq 0\} = \mathcal{O}^{\text{perf}} + \tilde{\mathcal{O}}^{\text{perf}}$ .  $\square$

**Lemma 4.2.** *Let  $k$  be any global field of characteristic  $p > 0$  such that  $k$  is a finite extension of  $\mathbb{F}_q(t)$  for some  $q = p^n$ . The perfect closure of  $k$  is  $k^{\text{perf}} := k(t^{1/p}, t^{1/p^2}, t^{1/p^3}, \dots)$ .*

*Proof.* Clearly  $k^{\text{perf}}$  is contained in the perfect closure of  $k$ . The field  $k^{\text{perf}}$  is a finite extension of  $K = \mathbb{F}_q(t, t^{1/p}, t^{1/p^2}, t^{1/p^3}, \dots)$ . Since  $K$  is perfect, and finite extensions of perfect fields are perfect,  $k^{\text{perf}}$  is perfect as well, so it must be equal to the perfect closure of  $k$ .  $\square$

Now we can state the general theorem:

**Theorem 4.3.** *Let  $k$  be a global field of characteristic  $p > 2$ , and  $k^{\text{perf}}$  its perfect closure. Let  $\mathfrak{p}$  be a prime of  $k^{\text{perf}}$ . The set  $\{x \in k^{\text{perf}} : \text{ord}_{\mathfrak{p}} x \geq 0\}$  is diophantine over  $k^{\text{perf}}$ .*

*Proof.* We can repeat the proof of Theorem 4.1 with  $\mathbb{F}_q(t)$  replaced by  $k$ . Everything works exactly as before, because the exact sequence of Theorem 2.7 works for all global fields  $k$ .  $\square$

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SCHOOL OF MATHEMATICS, INSTITUTE FOR ADVANCED STUDY, EINSTEIN DRIVE,  
PRINCETON, NJ 08540

*E-mail address:* eisenstra@ias.edu